

Dr. MM – Selected Mandates 2019 – 20 (1/3)

#	Role	Client	Performance	Duration
1	Chief Compliance Officer (CCO) ad interim	Lux branch of a small Swiss commercial and private bank	<ul style="list-style-type: none"> Served the Company as CCO in order to compensate the loss of the previous CCO Mitigated the findings of a CSSF-AML review (hereof 5 “High Risk”) 	4 Months
2	Project Manager	Lux ManCo, belonging to a Brazilian Banking Group	<ul style="list-style-type: none"> Reinvestigated current addresses of 130 of their German Fund Investors, to which they had lost contact (including addresses of the Investor’s heirs) 	6 Months
3	Commissaire aux Comptes (CaC)	Lux Start Up (S.à r.L.), developing an AI-Product	<ul style="list-style-type: none"> Performed the audits for the years 2017 to 2019 	1 Month (each)

Dr. MM – Selected Mandates 2019 – 20 (2/3)

#	Role	Client	Performance	Duration
4	Chief Executive Officer (CEO) ad interim	German Company heading a group of totally 5 Companies (“virtual organization”)	<ul style="list-style-type: none"> Managed 2 Project Companies, each holding 1 Wind Park in Germany Managed the Client & 2 Holding Companies Selected, instructed & controlled the Group’s service Providers, including Lawyers, Tax Advisors, Auditors, Accountants & Technical Consultants 	10 Months
5	Trainer	Lux PSF, being a service provider for Funds (Fund accounting, Central Admin, ...)	<ul style="list-style-type: none"> Provide the annual AML-training for their staff, years 2019 and 2020 	1/4 day (each)

Dr. MM – Selected Mandates 2019 – 20 (3/3)

#	Role	Client	Performance	Duration
6	Commissaire aux Comptes (CaC)	Lux Start Up (S.A.), developing an innovative Health Care Product	<ul style="list-style-type: none"> Performed the audits for the years 2017 to 2019 	1 Month (each)
7	Independent Director	Lux ManCo	<ul style="list-style-type: none"> Serve the Company as their Independent Director (BoD of 3) 	Ongoing (since 03/2020)
8	Inhouse-Lawyer	Small German RE-SPV (~ 35 rental entities)	<ul style="list-style-type: none"> “Legal Housekeeping” Acting as the Company’s lawyer “on trial” 	Ongoing (since 01/2020)