

Dr. MM – Manager ad interim-mandates (1/2)

#	Role	Client	Performance	Duration
1	Chief Compliance Officer (CCO) ad interim	Lux branch of a small Swiss commercial and private bank	<ul style="list-style-type: none">• Served the Company as CCO in order to compensate the loss of the previous CCO• Mitigated the findings of a CSSF-AML review (hereof 5 “High Risk”)	4 Months
2	Project Manager	Lux ManCo, belonging to a Brazilian Banking Group	<ul style="list-style-type: none">• Reinvestigated current addresses of 130 of their German Fund Investors, to which they had lost contact (including addresses of the Investor’s heirs)	6 Months

Dr. MM – Manager ad interim-mandates (2/2)

#	Role	Client	Performance	Duration
3	Chief Executive Officer (CEO) ad interim	German Company heading a group of totally 5 Companies (“virtual organization”)	<ul style="list-style-type: none">• Managed 2 Project Companies, each holding 1 Wind Park in Germany• Managed the Client & 2 Holding Companies• Selected, instructed & controlled the Group’s service Providers, including Lawyers, Tax Advisors, Auditors, Accountants & Technical Consultants	Ongoing (since 11/2019)